

Syntax-Guided Synthesis

Rajeev Alur

Joint work with R. Bodik, G. Juniwal, M. Martin,
M. Raghothaman, S. Seshia, R. Singh,
A. Solar-Lezama, E. Torlak, A. Udupa



EXCAPE
Expeditions in Computer Augmented
Program Engineering



Program Verification

- ❑ Does a program P meet its specification φ ?
- ❑ Historical roots: Hoare logic for formalizing correctness of structured programs (late 1960s)
- ❑ Early examples: sorting, graph algorithms
- ❑ Provides calculus for pre/post conditions of structured programs

Sample Proof: Selection Sort

```
SelectionSort(int A[],n) {  
  i1 := 0;  
  while(i1 < n-1) {  
    v1 := i1;  
    i2 := i1 + 1;  
    while (i2 < n) {  
      if (A[i2] < A[v1])  
        v1 := i2 ;  
      i2++;  
    }  
    swap(A[i1], A[v1]);  
    i1++;  
  }  
  return A;  
}
```

Invariant:
 $\forall k_1, k_2. 0 \leq k_1 < k_2 < n \wedge$
 $k_1 < i_1 \Rightarrow A[k_1] \leq A[k_2]$

Invariant:
 $i_1 < i_2 \wedge$
 $i_1 \leq v_1 < n \wedge$
 $(\forall k_1, k_2. 0 \leq k_1 < k_2 < n \wedge$
 $k_1 < i_1 \Rightarrow A[k_1] \leq A[k_2]) \wedge$
 $(\forall k. i_1 \leq k < i_2 \wedge$
 $k \geq 0 \Rightarrow A[v_1] \leq A[k])$

post: $\forall k : 0 \leq k < n \Rightarrow A[k] \leq A[k + 1]$

Towards Practical Program Verification

1. Focus on simpler verification tasks:
 - ◆ Not full functional correctness, just absence of specific errors
 - ◆ Success story: Array accesses are within bounds
2. Provide automation as much as possible
 - ◆ Program verification is undecidable
 - ◆ Programmer asked to give annotations when absolutely needed
 - ◆ Consistency of annotations checked by SMT solvers
3. Use verification technology for synergistic tasks
 - ◆ Directed testing
 - ◆ Bug localization

Selection Sort: Array Access Correctness

```
SelectionSort(int A[],n) {
  i1 :=0;
  while(i1 < n-1) {
    v1 := i1;
    i2 := i1 + 1;
    while (i2 < n) {
      assert (0 ≤ i2 < n) & (0 ≤ v1 < n)
      if (A[i2]<A[v1])
        v1 := i2 ;
      i2++;
    }
    assert (0 ≤ i1 < n) & (0 ≤ v1 < n)
    swap(A[i1], A[v1]);
    i1++;
  }
  return A;
}
```

Selection Sort: Proving Assertions

```
SelectionSort(int A[],n) {  
  i1 := 0;  
  while(i1 < n-1) {  
    v1 := i1;  
    i2 := i1 + 1;  
    while (i2 < n) {  
      assert 0 ≤ i2 < n & 0 ≤ v1 < n  
      if (A[i2] < A[v1])  
        v1 := i2 ;  
      i2++;  
    }  
    assert (0 ≤ i1 < n) & 0 ≤ v1 < n  
    swap(A[i1], A[v1]);  
    i1++;  
  }  
  return A;  
}
```

Check validity of formula

$$(i1 = 0) \ \& \ (i1 < n-1) \Rightarrow (0 \leq i1 < n)$$

And validity of formula

$$(0 \leq i1 < n) \ \& \ (i1' = i1+1) \ \& \ (i1' < n-1) \\ \Rightarrow (0 \leq i1' < n)$$

Discharging Verification Conditions

- ❑ Check validity of
 $(i1 = 0) \ \& \ (i1 < n-1) \Rightarrow (0 \leq i1 < n)$
- ❑ Reduces to checking satisfiability of
 $(i1 = 0) \ \& \ (i1 < n-1) \ \& \ \sim(0 \leq i1 < n)$
- ❑ Core computational problem: checking satisfiability
 - ◆ Classical satisfiability: SAT
Boolean variables + Logical connectives
 - ◆ SMT: Constraints over typed variables
 $i1$ and n are of type Integer or BitVector[32]

A Brief History of SAT

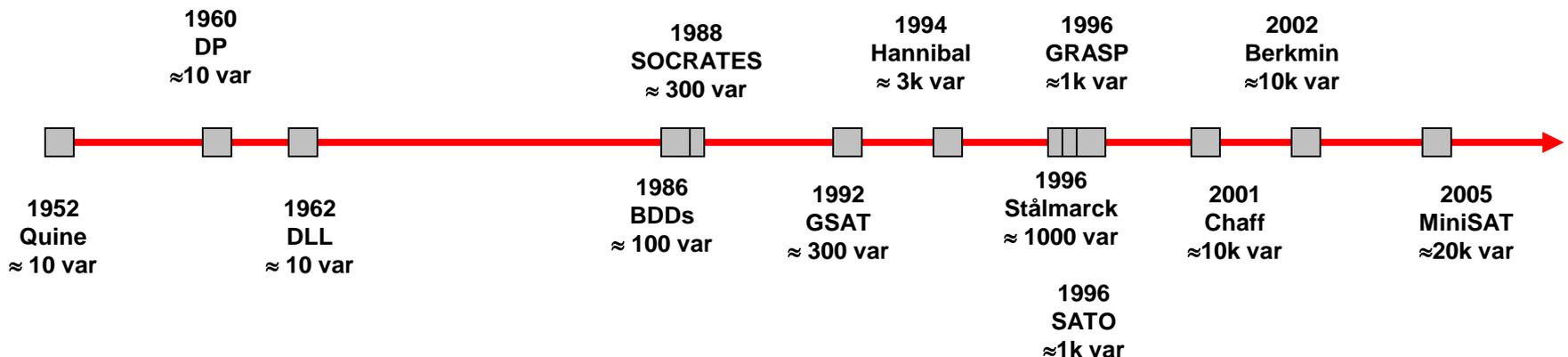
□ Fundamental Thm of CS: SAT is NP-complete (Cook, 1971)

- ◆ Canonical computationally intractable problem
- ◆ Driver for theoretical understanding of complexity

□ Enormous progress in scale of problems that can be solved

- ◆ Inference: Discover new constraints dynamically
- ◆ Exhaustive search with pruning
- ◆ Algorithm engineering: Exploit architecture for speed-up

□ SAT solvers as the canonical computational hammer!



SMT: Satisfiability Modulo Theories

- Computational problem: Find a satisfying assignment to a formula
 - ◆ Boolean + Int types, logical connectives, arithmetic operators
 - ◆ Bit-vectors + bit-manipulation operations in C
 - ◆ Boolean + Int types, logical/arithmetic ops + Uninterpreted functors
- “Modulo Theory”: Interpretation for symbols is fixed
 - ◆ Can use specialized algorithms (e.g. for arithmetic constraints)
- Progress in improved SMT solvers

Little Engines of Proof

SAT; Linear arithmetic; Congruence closure

SMT Success Story

SMT Solvers ↔ Verification Tools

CBMC

SAGE

VCC

Spec#

SMT-LIB Standardized Interchange Format (smt-lib.org)
Problem classification + Benchmark repositories
LIA, LIA_UF, LRA, QF_LIA, ...

+ Annual Competition (smt-competition.org)

Z3

Yices

CVC4

MathSAT5

Program Synthesis

- Classical: Mapping a high-level (e.g. logical) specification to an executable implementation

- Benefits of synthesis:
 - ◆ Make programming easier: Specify “what” and not “how”
 - ◆ Eliminate costly gap between programming and verification

- Deductive program synthesis: Constructive proof of $\exists f. \varphi$

Verification



Synthesis

Program Verification:
Does P meet spec φ ?



SMT:
Is φ satisfiable ?



SMT-LIB:
Standard API
Solver competition

Program Synthesis:
Find P that meets spec φ



Syntax-Guided Synthesis



Plan for SYNTH-LIB

Superoptimizing Compiler

- Given a program P, find a "better" equivalent program P'

```
multiply (x[1,n], y[1,n]) {  
    x1 = x[1,n/2];  
    x2 = x[n/2+1, n];  
    y1 = y[1, n/2];  
    y2 = y[n/2+1, n];  
    a = x1 * y1;  
    b = shift( x1 * y2, n/2);  
    c = shift( x2 * y1, n/2);  
    d = shift( x2 * y2, n);  
    return ( a + b + c + d)  
}
```

Replace with equivalent code
with only 3 multiplications

Automatic Invariant Generation

```
SelectionSort(int A[],n) {  
  i1 :=0;  
  while(i1 < n-1) {  
    v1 := i1;  
    i2 := i1 + 1;  
    while (i2 < n) {  
      if (A[i2]<A[v1])  
        v1 := i2 ;  
      i2++;  
    }  
    swap(A[i1], A[v1]);  
    i1++;  
  }  
  return A;  
}
```

Invariant: ?

Invariant: ?

post: $\forall k : 0 \leq k < n \Rightarrow A[k] \leq A[k + 1]$

Template-based Automatic Invariant Generation

```
SelectionSort(int A[],n) {  
  i1 :=0;  
  while(i1 < n-1) {  
    v1 := i1;  
    i2 := i1 + 1;  
    while (i2 < n) {  
      if (A[i2]<A[v1])  
        v1 := i2 ;  
      i2++;  
    }  
    swap(A[i1], A[v1]);  
    i1++;  
  }  
  return A;  
}
```

Invariant:
 $\forall k1,k2. ? \wedge ?$

Invariant:
 $? \wedge ? \wedge$
 $(\forall k1,k2. ? \wedge ?) \wedge (\forall k. ? \wedge ?)$

Constraint solver

post: $\forall k : 0 \leq k < n \Rightarrow A[k] \leq A[k + 1]$

Template-based Automatic Invariant Generation

```
SelectionSort(int A[],n) {  
  i1 := 0;  
  while(i1 < n-1) {  
    v1 := i1;  
    i2 := i1 + 1;  
    while (i2 < n) {  
      if (A[i2] < A[v1])  
        v1 := i2 ;  
      i2++;  
    }  
    swap(A[i1], A[v1]);  
    i1++;  
  }  
  return A;  
}
```

Invariant:
 $\forall k_1, k_2. 0 \leq k_1 < k_2 < n \wedge$
 $k_1 < i_1 \Rightarrow A[k_1] \leq A[k_2]$

Invariant:
 $i_1 < i_2 \wedge$
 $i_1 \leq v_1 < n \wedge$
 $(\forall k_1, k_2. 0 \leq k_1 < k_2 < n \wedge$
 $k_1 < i_1 \Rightarrow A[k_1] \leq A[k_2]) \wedge$
 $(\forall k. i_1 \leq k < i_2 \wedge$
 $k \geq 0 \Rightarrow A[v_1] \leq A[k])$

post: $\forall k : 0 \leq k < n \Rightarrow A[k] \leq A[k + 1]$

Parallel Parking by Sketching

Ref: Chaudhuri, Solar-Lezama (PLDI 2010)

```
Err = 0.0;
for(t = 0; t < T; t += dT){
  if(stage == STRAIGHT){
    if(t > ??) stage = INTURN;
  }
  if(stage == INTURN){
    car.ang = car.ang - ??;
    if(t > ??) stage = OUTTURN;
  }
  if(stage == OUTTURN){
    car.ang = car.ang + ??;
    if(t > ??) break;
  }
  simulate_car(car);
  Err += check_collision(car);
}
Err += check_destination(car);
```

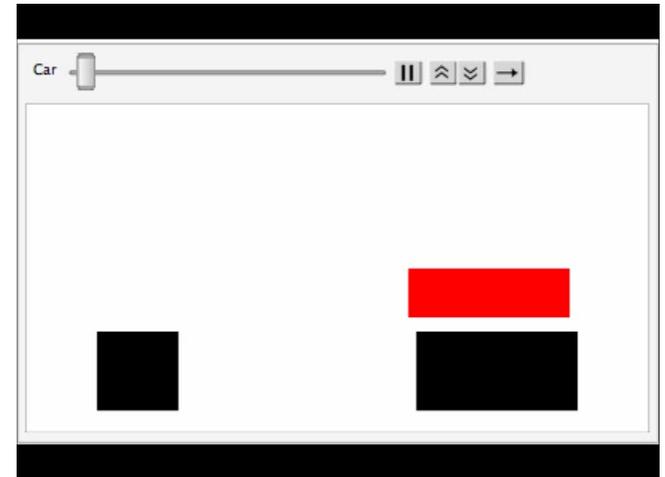
When to start turning?

Backup straight

How much to turn?

Turn

Straighten

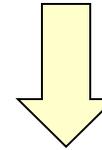


Autograder: Feedback on Programming Homeworks

Singh et al (PLDI 2013)

```
1 def computeDeriv(poly):
2     deriv = []
3     zero = 0
4     if (len(poly)==1):
5         return deriv
6     for e in range(0, len(poly)):
7         if (poly[e]==0):
8             zero += 1
9         else:
10            deriv.append(poly[e]*e)
11
12    return deriv
```

Student Solution P
+ Reference Solution R
+ Error Model



The program requires **3** changes:

- In the return statement **return deriv** in **line 5**, replace **deriv** by **[0]**.
- In the comparison expression (**poly[e] == 0**) in **line 7**, change (**poly[e] == 0**) to **False**.
- In the expression **range(0, len(poly))** in **line 6**, replace **0** by **1**.

Find min no of edits to P so
as to make it equivalent to R

FlashFill: Programming by Examples

Ref: Gulwani (POPL 2011)

Input	Output
(425)-706-7709	425-706-7709
510.220.5586	510-220-5586
1 425 235 7654	425-235-7654
425 745-8139	425-745-8139

- ◆ Infers desired Excel macro program
- ◆ Iterative: user gives examples and corrections
- ◆ Being incorporated in next version of Microsoft Excel

Syntax-Guided Program Synthesis

- Core computational problem: Find a program P such that
 1. P is in a set E of programs (syntactic constraint)
 2. P satisfies spec φ (semantic constraint)

- Common theme to many recent efforts
 - ◆ Sketch (Bodik, Solar-Lezama et al)
 - ◆ FlashFill (Gulwani et al)
 - ◆ Super-optimization (Schkufza et al)
 - ◆ Invariant generation (Many recent efforts...)
 - ◆ TRANSIT for protocol synthesis (Udupa et al)
 - ◆ Oracle-guided program synthesis (Jha et al)
 - ◆ Implicit programming: Scala[^]Z3 (Kuncak et al)
 - ◆ Auto-grader (Singh et al)

But no way to share benchmarks and/or compare solutions

Syntax-Guided Synthesis (SyGuS) Problem

- Fix a background theory T : fixes types and operations
- Function to be synthesized: name f along with its type
 - ◆ General case: multiple functions to be synthesized
- Inputs to SyGuS problem:
 - ◆ Specification φ
 - Typed formula using symbols in T + symbol f
 - ◆ Set E of expressions given by a context-free grammar
 - Set of candidate expressions that use symbols in T
- Computational problem:
 - Output e in E such that $\varphi[f/e]$ is valid (in theory T)

SyGuS Example

- Theory QF-LIA
 - Types: Integers and Booleans
 - Logical connectives, Conditionals, and Linear arithmetic
 - Quantifier-free formulas
- Function to be synthesized $f(\text{int } x, \text{int } y) : \text{int}$
- Specification: $(x \leq f(x,y)) \ \& \ (y \leq f(x,y)) \ \& \ (f(x,y) = x \mid f(x,y) = y)$
- Candidate Implementations: Linear expressions
 - $\text{LinExp} := x \mid y \mid \text{Const} \mid \text{LinExp} + \text{LinExp} \mid \text{LinExp} - \text{LinExp}$
- No solution exists

SyGuS Example

- Theory QF-LIA
- Function to be synthesized: $f(\text{int } x, \text{int } y) : \text{int}$
- Specification: $(x \leq f(x,y)) \ \& \ (y \leq f(x,y)) \ \& \ (f(x,y) = x \mid f(x,y) = y)$
- Candidate Implementations: Conditional expressions without +

Term := $x \mid y \mid \text{Const} \mid \text{If-Then-Else}(\text{Cond}, \text{Term}, \text{Term})$

Cond := $\text{Term} \leq \text{Term} \mid \text{Cond} \ \& \ \text{Cond} \mid \sim \text{Cond} \mid (\text{Cond})$

- Possible solution:
If-Then-Else $(x \leq y, y, x)$

Let Expressions and Auxiliary Variables

- ❑ Synthesized expression maps directly to a straight-line program
- ❑ Grammar derivations correspond to expression parse-trees
- ❑ How to capture common subexpressions (which map to aux vars) ?
- ❑ Solution: Allow "let" expressions
- ❑ Candidate-expressions for a function $f(\text{int } x, \text{int } y) : \text{int}$
 - $T := (\text{let } [z = U] \text{ in } z + z)$
 - $U := x \mid y \mid \text{Const} \mid (U) \mid U + U \mid U * U$

Optimality

- ❑ Specification for $f(\text{int } x) : \text{int}$
 $x \leq f(x) \ \& \ -x \leq f(x)$
- ❑ Set E of implementations: Conditional linear expressions
- ❑ Multiple solutions are possible
If-Then-Else $(0 \leq x, x, 0)$
If-Then-Else $(0 \leq x, x, -x)$
- ❑ Which solution should we prefer?
Need a way to rank solutions (e.g. size of parse tree)

Invariant Generation as SyGuS

```
bool x, y, z
int a, b, c

while( Test ) {
  loop-body
  ....
}
```

- Goal: Find inductive loop invariant automatically

- Function to be synthesized

Inv (bool x, bool z, int a, int b) : bool

- Compile loop-body into a logical predicate

Body(x,y,z,a,b,c, x',y',z',a',b',c')

- Specification:

Inv & Body & Test' \Rightarrow Inv'

- Template for set of candidate invariants

Term := a | b | Const | Term + Term | If-Then-Else (Cond, Term, Term)

Cond := x | z | Cond & Cond | \sim Cond | (Cond)

Program Optimization as SyGuS

- Type matrix: 2x2 Matrix with Bit-vector[32] entries
Theory: Bit-vectors with arithmetic
- Function to be synthesized $f(\text{matrix } A, B) : \text{matrix}$
- Specification: $f(A, B)$ is matrix product
 $f(A, B)[1,1] = A[1,1]*B[1,1] + A[1,2]*B[2,1]$
...
- Set of candidate implementations
Expressions with at most 7 occurrences of *
Unrestricted use of +
let expressions allowed

Program Sketching as SyGuS

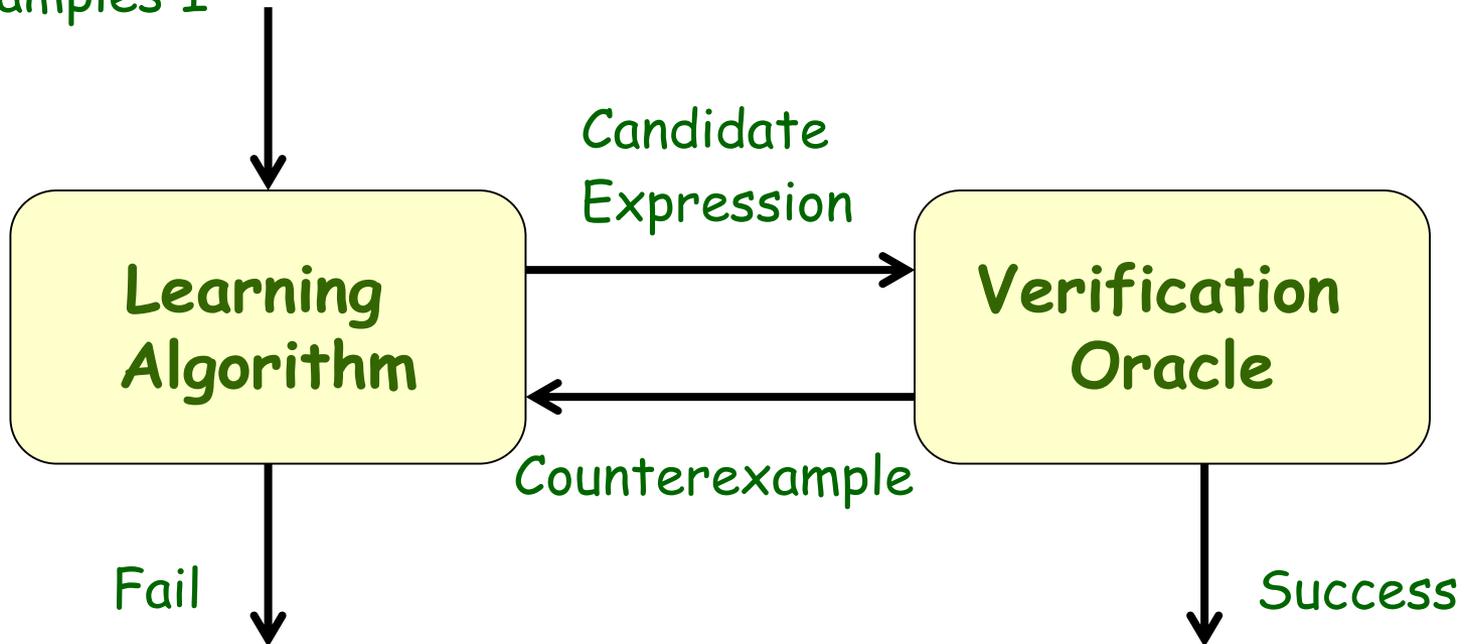
- ❑ Sketch programming system
 - C program P with ?? (holes)
 - Find expressions for holes so as to satisfy assertions
- ❑ Each hole corresponds to a separate function symbol
- ❑ Specification: P with holes filled in satisfies assertions
 - Loops/recursive calls in P need to be unrolled fixed no of times
- ❑ Set of candidate implementations for each hole:
 - All type-consistent expressions
- ❑ Not yet explored:
 - How to exploit flexibility of separation betn syntactic and semantic constraints for computational benefits?

Solving SyGuS

- Is SyGuS same as solving SMT formulas with quantifier alternation?
- SyGuS can sometimes be reduced to Quantified-SMT, but not always
 - ◆ Set E is all linear expressions over input vars x, y
SyGuS reduces to $\exists a, b, c. \forall X. \varphi [f / ax+by+c]$
 - ◆ Set E is all conditional expressions
SyGuS cannot be reduced to deciding a formula in LIA
- Syntactic structure of the set E of candidate implementations can be used effectively by a solver
- Existing work on solving Quantified-SMT formulas suggests solution strategies for SyGuS

SyGuS as Active Learning

Initial examples I



Concept class: Set E of expressions

Examples: Concrete input values

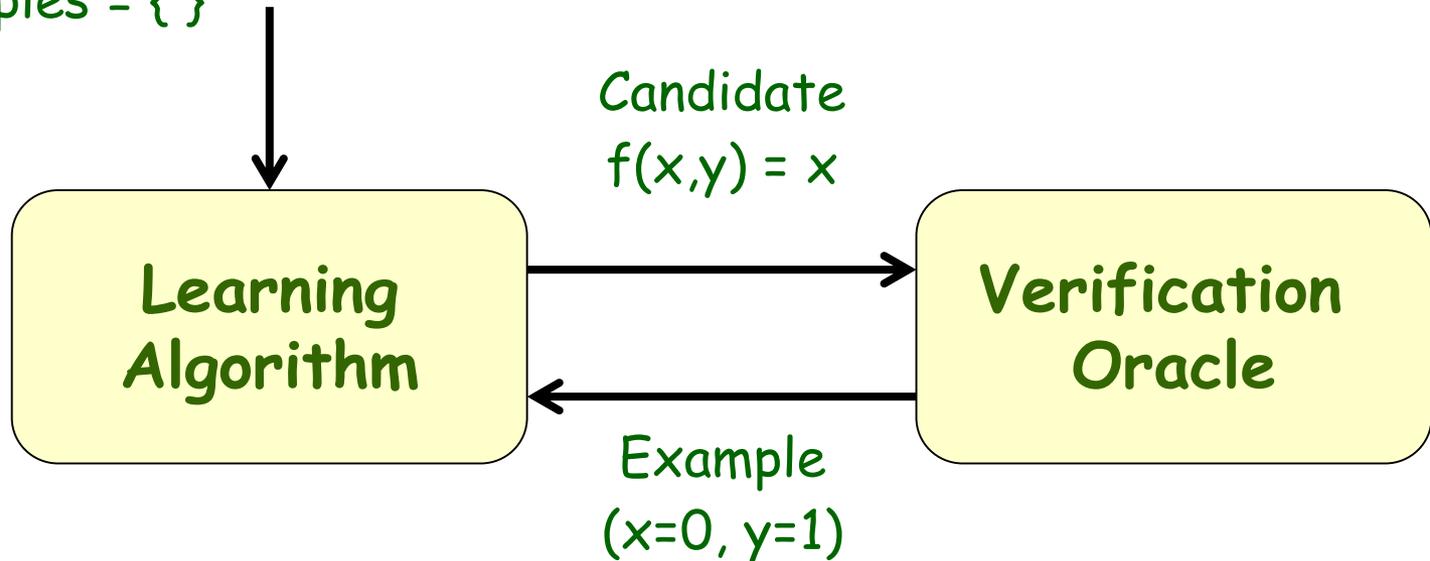
Counter-Example Guided Inductive Synthesis

- ❑ Concrete inputs I for learning $f(x,y) = \{ (x=a,y=b), (x=a',y=b'), \dots \}$
- ❑ Learning algorithm proposes candidate expression e such that $\varphi[f/e]$ holds for all values in I
- ❑ Check if $\varphi[f/e]$ is valid for all values using SMT solver
- ❑ If valid, then stop and return e
- ❑ If not, let $(x=\alpha, y=\beta, \dots)$ be a counter-example (satisfies $\sim \varphi[f/e]$)
- ❑ Add $(x=\alpha, y=\beta)$ to tests I for next iteration

CEGIS Example

- Specification: $(x \leq f(x,y)) \ \& \ (y \leq f(x,y)) \ \& \ (f(x,y) = x \mid f(x,y)=y)$
- Set E: All expressions built from $x,y,0,1$, Comparison, +, If-Then-Else

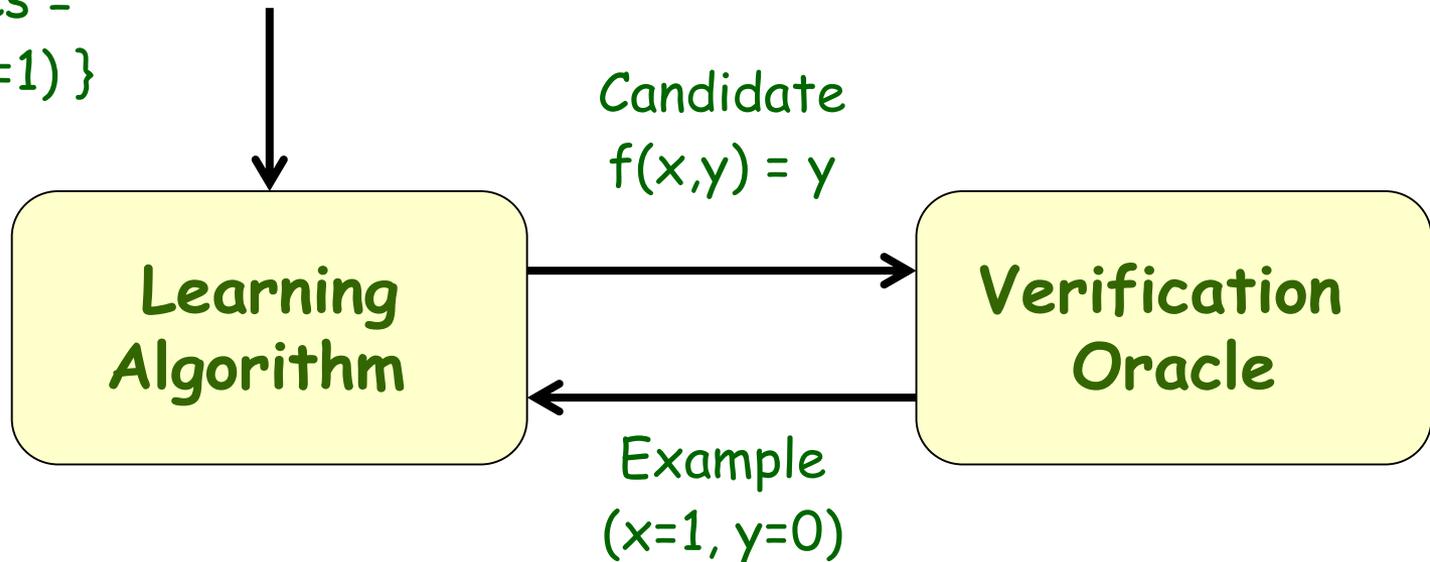
Examples = { }



CEGIS Example

- Specification: $(x \leq f(x,y)) \ \& \ (y \leq f(x,y)) \ \& \ (f(x,y) = x \mid f(x,y)=y)$
- Set E: All expressions built from $x,y,0,1$, Comparison, +, If-Then-Else

Examples =
 $\{(x=0, y=1)\}$



CEGIS Example

- Specification: $(x \leq f(x,y)) \ \& \ (y \leq f(x,y)) \ \& \ (f(x,y) = x \mid f(x,y)=y)$
- Set E: All expressions built from $x,y,0,1$, Comparison, $+$, If-Then-Else

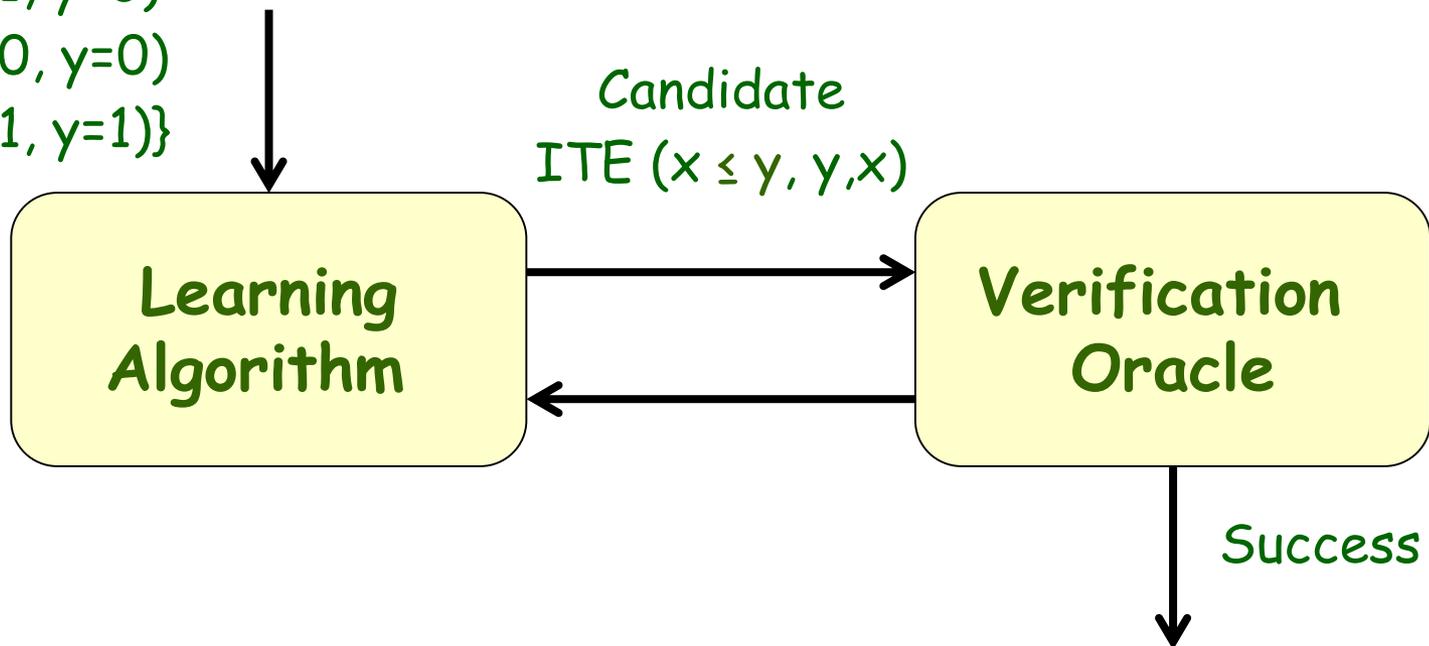
Examples =

$\{(x=0, y=1)$

$(x=1, y=0)$

$(x=0, y=0)$

$(x=1, y=1)\}$



SyGuS Solutions

- CEGIS approach (Solar-Lezama, Seshia et al)
- Similar strategies for solving quantified formulas and invariant generation
- Learning strategies based on:
 - ◆ Enumerative (search with pruning): Udupa et al (PLDI'13)
 - ◆ Symbolic (solving constraints): Gulwani et al (PLDI'11)
 - ◆ Stochastic (probabilistic walk): Schkufza et al (ASPLOS'13)

Enumerative Learning

- Find an expression consistent with a given set of concrete examples
- Enumerate expressions in increasing size, and evaluate each expression on all concrete inputs to check consistency
- Key optimization for efficient pruning of search space:
 - Expressions e_1 and e_2 are equivalent
if $e_1(a,b)=e_2(a,b)$ on all concrete values ($x=a,y=b$) in Examples
 - Only one representative among equivalent subexpressions needs
to be considered for building larger expressions
- Fast and robust for learning expressions with ~ 15 nodes

Symbolic Learning

- Suppose we know upper bound on no. of occurrences of each symbol



- Variables encode edges in desired expression tree
E.g. $l_9, r_9 : \{n1, \dots, n10\}$ give left and right children of node $n9$

- Constraints:

Types are consistent, Shape is a DAG

Spec $\varphi[f/e]$ is satisfied on every concrete input values in I

- Use an SMT solver to find a satisfying solution

- If unsatisfied, then bounds need to be increased in outer loop

Stochastic Learning

- ❑ Idea: Find desired expression e by probabilistic walk on graph where nodes are expressions and edges capture single-edits
- ❑ For a given set I of concrete inputs, $\text{Score}(e) = \exp(-0.5 \text{Wrong}(e))$, where $\text{Wrong}(e) = \text{No of examples in } I \text{ for which } \sim_{\varphi} [f/e]$
- ❑ Fix n and consider E_n to be set of all expressions in E of size n
- ❑ Initialize: Choose e by uniform sampling of E_n
- ❑ If $\text{Score}(e)=1$ then return e , else:
 - Choose a node v in parse-tree of e at random
 - Replace subtree at v by a random subtree of same size to get e'
 - Update e to e' with probability $\min\{1, \text{Score}(e')/\text{Score}(e)\}$
- ❑ Outer loop responsible for updating expression size n

Benchmarks and Implementation

- Prototype implementation of Enumerative/Symbolic/Stochastic CEGIS
- Benchmarks:
 - ◆ Bit-manipulation programs from Hacker's delight
 - ◆ Integer arithmetic: Find max, search in sorted array
 - ◆ Challenge problems such as computing Morton's number
- Multiple variants of each benchmark by varying grammar
- Results are not conclusive as implementations are unoptimized, but offers first opportunity to compare solution strategies

Evaluation

- ❑ Enumerative CEGIS has best performance, and solves many benchmarks within seconds
 - Potential problem: Synthesis of complex constants
- ❑ Symbolic CEGIS is unable to find answers on most benchmarks
 - Caveat: Sketch succeeds on many of these
- ❑ Choice of grammar has impact on synthesis time
 - When E is set of all possible expressions, solvers struggle
- ❑ None of the solvers succeed on some benchmarks
 - Morton constants, Search in integer arrays of size > 4
- ❑ Bottomline: Improving solvers is a great opportunity for research !

SyGuS Recap

- Contribution: Formalization of syntax-guided synthesis problem
 - ◆ Not language specific such as Sketch, Scala^{Z3},...
 - ◆ Not as low-level as (quantified) SMT

- Advantages compared to classical synthesis
 1. Set E can be used to restrict search (computational benefits)
 2. Programmer flexibility: Mix of specification styles
 3. Set E can restrict implementation for resource optimization
 4. Beyond deductive solution strategies: Search, inductive inference

- Prototype implementation of 3 solution strategies

- Initial set of benchmarks and evaluation

From SMT-LIB to SYNTH-LIB

```
(set-logic LIA)
(synth-fun max2 ((x Int) (y Int)) Int
  ((Start Int (x y 0 1
    (+ Start Start)
    (- Start Start)
    (ite StartBool Start Start)))
  (StartBool Bool ((and StartBool StartBool)
    (or StartBool StartBool)
    (not StartBool)
    (<= Start Start))))
(declare-var x Int)
(declare-var y Int)
(constraint (>= (max2 x y) x))
(constraint (>= (max2 x y) y))
(constraint (or (= x (max2 x y)) (= y (max2 x y))))
(check-synth)
```

Plan for Synth-Comp

- ❑ Proposed competition of SyGuS solvers at FLoC, July 2014
- ❑ Organizers: Alur, Fisman (Penn) and Singh, Solar-Lezama (MIT)
- ❑ Website: excape.cis.upenn.edu/Synth-Comp.html
- ❑ Mailing list: synthlib@cis.upenn.edu
- ❑ Call for participation:
 - ◆ Join discussion to finalize synth-lib format and competition format
 - ◆ Contribute benchmarks
 - ◆ Build a SyGuS solver

SyGuS Solvers ↔ Synthesis Tools

Program optimization

Program sketching

Programming by examples

Invariant generation

SYNTH-LIB Standardized Interchange Format
Problem classification + Benchmark repository
+ Solvers competition

Potential Techniques for Solvers:
Learning, Constraint solvers, Enumerative/stochastic search

Little engines of synthesis ?